

UPS Consumer Product Safety Commission (CPSC) Form



1. Tracking Number: _____ Date (MM/DD/YYYY): _____
- Check if the information provided should be used for a single entry. ☐ Check if the information provided should be used as a blanket document for multiple entries. ☐
- The information provided will be kept on file for a period of one year; however, if the information becomes obsolete or changes throughout the course of business, the customer must inform UPS.*

PART I: DISCLAIM

If completing this section, CPSC will be disclaimed, and you do not need to complete the remainder of the form.

Select either option A or B below, and select an Intended Use Code. If selecting Intended Use Code 980.000, you must provide an intended use description.

2. ☐ Option A- Product is not regulated by CPSC ☐ Option B- Data is not required per CPSC guidance

Intended Use Code: _____

Intended Use Description: _____

PART II: PRODUCTS REGISTERED IN THE CPSC PRODUCT REGISTRY

Complete this section if the product is registered in the CPSC Product Registry.

3. Is the product registered in the CPSC Product Registry? ☐ Yes ☐ No Product Registry ID#: _____
- Product ID used in the CPSC Registry: _____ Product ID Certificate Version: _____
- Certifier ID#: _____

PART III: PRODUCTS NOT REGISTERED IN THE CPSC PRODUCT REGISTRY

Complete this section if the product is not registered in the CPSC Product Registry.

4. Product Name: _____
5. Item/Certification Type: ☐ CPC- Children's Product ☐ GCC- General-Use Product
6. Provide at least one of the following product identifiers: GS1 Global Trade Item #: _____
- Serial #: _____ SKU: _____ Color: _____
- UPC: _____ Model #: _____
- Registered #: _____ Model Description: _____
- Style #: _____ Alternate Identifier: _____
7. Provide the following for the domestic manufacturer or importer certifying compliance of the product (*Certifying Entity or CE*):
- CE Company Name: _____ CE Contact: _____
- CE Address: _____ City: _____
- State: _____ Postal Code: _____
- CE Contact Phone: _____ CE Contact Email: _____
8. Please provide the following information regarding the manufacturer of the product (*MF Entity*):
- MF Company Name: _____ MF Contact: _____
- MF Address: _____ City: _____
- State: _____ Postal Code: _____
- MF Contact Phone: _____ MF Contact Email: _____
- Date of Manufacture: _____ Place of Manufacture: _____
9. Please indicate the date(s) and place(s) of testing, then in Part IV, please select the CPS Testing Citation.
If product is exempt from testing, then in Part V, please select the appropriate CPS Testing Exemption Citation.
- Date of Testing: _____ Place of Testing: _____

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10. Was testing performed by an independent third-party (ITL), CPSC-accepted lab? ☐ Yes ☐ No

If yes, provide the four-digit Lab ID# _____ If no, provide the following information regarding the lab who performed testing:

Lab Company Name: _____ Lab Contact: _____

Lab Address: _____ City: _____

State: _____ Postal Code: _____

Lab Contact Phone: _____ Lab Contact Email: _____

11. Provide the following information regarding the individual or firm maintaining records or test records (Primary Key Entity):

PK Company Name: _____ PK Contact: _____

PK Address: _____ City: _____

State: _____ Postal Code: _____

PK Contact Phone: _____ PK Contact Email: _____

12. Provide any additional lab or testing details:

Small Batch ID#: _____ Lab Report ID: _____

Lab Report URL: _____ Access Key to Lab Test Report: _____

Part IV: CPS Testing Citations

13. Select the box next to each Citation for which the product was tested.

☐ 4.1- ASTM F963: Material quality	☐ 4.20.2 ASTM F963: Toy Pacifiers
☐ 4.3.5.1(2)- ASTM F963: Paint and Similar Surface Coating Materials	☐ 4.21 ASTM F963: Projectile Toys
☐ 4.3.5.2- ASTM F963: Toy Substrate Materials	☐ 4.22 ASTM F963: Teethers and Teething Toys
☐ 4.3.6- ASTM F963: Cleanliness of Liquids, Pastes, Putties, Gels, and Powders	☐ 4.23.1 ASTM F963: Rattles
☐ 4.3.7- ASTM F963: Stuffing Materials	☐ 4.24 ASTM F963: Squeeze Toys
☐ 4.5- ASTM F963: Sound Producing Toys	☐ 4.25 ASTM F963: Battery-Operated Toys
☐ 4.6- ASTM F963: Small Objects	☐ 4.26 ASTM F963: Toys Intended to Be Attached to a Crib or Playpen
☐ 4.7- ASTM F963: Accessible Edges	☐ 4.27 ASTM F963: Stuffed and Beanbag-Type Toys
☐ 4.8- ASTM F963: Projections (except bath toy projections)	☐ 4.3 ASTM F963: Toy Gun Marking
☐ 4.9- ASTM F963: Accessible Points	☐ 4.32 ASTM F963: Certain Toys with Nearly Spherical Ends
☐ 4.1- ASTM F963: Wires or Rods	☐ 4.35 ASTM F963: Pompoms
☐ 4.11- ASTM F963: Nails and Fasteners	☐ 4.36 ASTM F963: Hemispheric-Shaped Objects
☐ 4.12- ASTM F963: Plastic Film	☐ 4.37 ASTM F963: Yo-Yo Elastic Tether Toys
☐ 4.13- ASTM F963: Folding Mechanisms and Hinges	☐ 4.38 ASTM F963: Magnets
☐ 4.14- ASTM F963: Cords, Straps, and Elastics	☐ 4.39 ASTM F963: Jaw Entrapment in Handles and Steering Wheels
☐ 4.15- ASTM F963: Stability and Overload Requirements	☐ 4.4 ASTM F963: Expanding Materials
☐ 4.16- ASTM F963: Confined Spaces	☐ 4.41 ASTM F963: Toy Chests
☐ 4.17- ASTM F963: Wheels, Tires, and Axles	☐ 5 ASTM F963: Labeling Requirements (as applicable)
☐ 4.18- ASTM F963: Holes, Clearances, and Accessibility of Mechanisms	☐ 6 ASTM F963: Instructional Literature (as applicable)
☐ 4.19- ASTM F963: Simulated Protective Devices	☐ 7 ASTM F963: Producer's Markings (as applicable)
☐ 4.20.1- ASTM F963: Pacifiers with Rubber Nipples	
☐ 16 CFR 1201: Safety Standard for Architectural Glazing Materials	☐ 16 CFR 1261: Safety Standard for Clothing Storage Units
☐ 16 CFR 1202: Safety Standard for Matchbooks	☐ 16 CFR 1262: Safety Standard for Magnets
☐ 16 CFR 1203: Safety Standard for Bicycle Helmets	☐ 16 CFR 1263.3: Requirements for Button Cell or Coin Batteries and Consumer Products Containing Such Batteries
☐ 16 CFR 1204: Safety Standard for Omnidirectional Citizens Band Base Station Antennas	☐ 16 CFR 1263.4: Labeling of Button Cell or Coin Battery Packaging

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☐ 16 CFR 1205: Safety Standard for Walk-Behind Power Lawn Mowers	☐ 16 CFR 1270: Safety Standard for Adult Portable Bedrails
☐ 16 CFR 1207: Safety Standard for Swimming Pool Slides	☐ 16 CFR 1272: Marking of Toy, Look-Alike, and Imitation Firearms
☐ 16 CFR 1209: Safety Standard for Cellulose Insulation	☐ 15 USC 1278a: Total Lead Content for Children's Products
☐ 16 CFR 1210: Safety Standard for Cigarette Lighters	☐ 16 CFR 1301: Ban of Unstable Refuse Bins
☐ 16 CFR 1211: Safety Standard for Automatic Residential Garage Door Operators	☐ 16 CFR 1303: Ban of Lead-Containing Paint and Certain Consumer Products Bearing Lead-Containing Paint
☐ 16 CFR 1212: Safety Standard for Multipurpose Lighters	☐ 16 CFR 1304: Ban of Consumer Patching Compounds Containing Respirable Free-Form Asbestos
☐ 16 CFR 1213: Safety Standard for Entrapment Hazards in Bunk Beds – General Use	☐ 16 CFR 1305: Ban of Artificial Emberizing Materials (Ash and Embers) Containing Respirable Free-Form Asbestos
☐ 16 CFR 1215: Safety Standard for Infant Bath Seats	☐ 16 CFR 1306: Ban of Hazardous Lawn Darts
☐ 16 CFR 1216: Safety Standard for Infant Walkers	☐ 16 CFR 1307: Prohibition of Children's Toys and Child Care Articles Containing Specified Phthalates
☐ 16 CFR 1217: Safety Standard for Toddler Beds	☐ 16 CFR 1420: Requirements for All Terrain Vehicles (ATVs)
☐ 16 CFR 1218: Safety Standard for Bassinets and Cradles	☐ 16 CFR 1450: Safety Standard for Swimming Pool and Spa Drain Covers
☐ 16 CFR 1219: Safety Standard for Full-Size Cribs	☐ 16 CFR 1460: Safety Standard for Child-Resistance for Closures on Portable Gas Containers
☐ 16 CFR 1220: Safety Standard for Non-Full-Size Cribs	☐ 16 CFR 1461: Portable Fuel Container Safety Act Regulation
☐ 16 CFR 1221: Safety Standard for Play Yards	☐ 15 USC 1472a: Special Packaging for Liquid Nicotine (CNPPA)
☐ 16 CFR 1222: Safety Standard for Bedside Sleepers	☐ 16 CFR 1500.17(a)(13): Ban of Candles with Metal-Cored Wicks
☐ 16 CFR 1223: Safety Standard for Infant and Cradle Swings	☐ 16 CFR 1500.86(a)(5): Exemption from Classification as a Banned Toy for Clacker Balls
☐ 16 CFR 1224: Safety Standard for Portable Bedrails	☐ 16 CFR 1500.86(a)(7): Exemption from Classification as a Banned Toy for Dive Sticks and Other Similar Articles (Degree of Axis from Vertical)
☐ 16 CFR 1225: Safety Standard for Hand-Held Infant Carriers	☐ 16 CFR 1500.86(a)(8): Exemption from Classification as a Banned Toy for Dive Sticks and Other Similar Articles (Maximum Force)
☐ 16 CFR 1226: Safety Standard for Soft Infant and Toddler Carriers	☐ 16 CFR 1501: Method for Identifying Toys and Other Articles Intended For Use By Children Under 3 Years of Age Which Present Choking Aspiration, Or Ingestion Hazards Because of Small Parts
☐ 16 CFR 1227: Safety Standard for Carriages and Strollers	☐ 16 CFR 1505: Requirements for Electrically Operated Toys or Other Electrically Operated Articles Intended for Children
☐ 16 CFR 1228: Safety Standard for Sling Carriers	☐ 16 CFR 1507: Fireworks Devices
☐ 16 CFR 1229: Safety Standard for Infant Bouncer Seats	☐ 16 CFR 1510: Safety Standards for Rattles
☐ 16 CFR 1230: Safety Standard for Frame Child Carriers	☐ 16 CFR 1511: Requirements for Pacifiers
☐ 16 CFR 1231: Safety Standard for High Chairs	☐ 16 CFR 1512: Requirements for Bicycles
☐ 16 CFR 1232: Safety Standard for Children's Folding Chairs and Children's Folding Stools	☐ 16 CFR 1513: Safety Standard for Entrapment Hazards in Bunk Beds – Children's
☐ 16 CFR 1233: Safety Standard for Portable Hook-On Chairs	☐ 16 CFR 1610: Standard for the Flammability of Clothing Textiles
☐ 16 CFR 1234: Safety Standard for Infant Bath Tubs	☐ 16 CFR 1611: Standard for the Flammability of Vinyl Plastic Film
☐ 16 CFR 1235: Safety Standard for Baby Changing Products	☐ 16 CFR 1615: Safety Standard for the Flammability of Children's Sleepwear: Sizes 0 through 6X
☐ 16 CFR 1236: Safety Standard for Infant Sleep Products	☐ 16 CFR 1616: Safety Standard for the Flammability of Children's Sleepwear: Sizes 7 through 14
☐ 16 CFR 1237: Safety Standard for Booster Seats	☐ 16 CFR 1630: Standard for the Surface Flammability of Carpets And Rugs
☐ 16 CFR 1238: Safety Standard for Stationary Activity Centers	☐ 16 CFR 1631: Standard for the Surface Flammability of Small Carpets and Rugs
☐ 16 CFR 1239: Safety Standard for Gates and Enclosures	☐ 16 CFR 1632: Standard for the Flammability of Mattresses and Mattress Pads
☐ 16 CFR 1241: Safety Standard for Crib Mattresses	☐ 16 CFR 1633: Standard for the Flammability (Open Flame) of Mattress Sets
☐ 16 CFR 1242: Safety Standard for Nursing Pillows	☐ 16 CFR 1700: Standard for Poison Prevention Packaging

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☐ 16 CFR 1243: Safety Standard for Infant Support Cushions	☐ 16 CFR 1750: Standard for Devices to Permit the Opening of Household Refrigerator Doors from the Inside
☐ 16 CFR 1250.4: Safety Standard for Water Beads	☐ 15 USC 2056c: Requirements for Sulfur Content in Drywall
☐ 16 CFR 1250.5: Safety Standard for Neck Floats	☐ 15 USC 2056e: Special Packaging for Button Cell or Coin Battery

Part V: CPS Testing Exemption Citations

14. Select the box next to each Exemption Citation for which the product was not tested or does not require testing.

☐ 4.14.6- ASTM F963: Exemption for straps used for waist restraints on ride-on toys are exempt from the free length and loop requirements	☐ 16 CFR 1308.2(a)(1): Determinations regarding phthalates for polypropylene (PP) with specific additives
☐ 4.37.2- ASTM F963: Exemptions for paddle balls and for sport balls with wrist or ankle straps longer than 70 cm (27.6 in) intended to be kicked or thrown and returned to the user	☐ 16 CFR 1308.2(a)(2): Determinations regarding phthalates for polyethylene (PE) with specific additives
☐ 4.38.3- ASTM F963: Exemption for magnetic/electrical experimental sets intended for children eight years of age and over that contain a loose as-received hazardous magnet or a loose as-received hazardous magnetic component, or both.	☐ 16 CFR 1308.2(a)(3): Determinations regarding phthalates for general purpose polystyrene (GPPS), medium-impact polystyrene (MIPS), high-impact polystyrene (HIPS), and super high-impact polystyrene (SHIPS) with specific additives
☐ 4.39.2- ASTM F963: Exemption for handles that are connected to the toy with a hinge and handles made from a pliable material	☐ 16 CFR 1308.2(a)(4): Determinations regarding phthalates for acrylonitrile butadiene styrene (ABS) with specific additives
☐ 16 CFR 1251.2: Determinations regarding heavy elements for unfinished and untreated wood	☐ 16 CFR 1500.88(d)(2): Exemption from lead limit under 15 USC 1278a for lead blended into the glass of cathode ray tubes, electronic components, and fluorescent tubes in electronic devices
☐ 16 CFR 1205.1(c)(2): Exclusions from standard for walk-behind power lawn mowers for reel-type power lawn mowers	☐ 16 CFR 1500.88(d)(5): Exemption from lead limit under 15 USC 1278a for lead used in lead-bronze bearing shells and bushings in electronic devices
☐ 16 CFR 1211.24(b): Exception for UL listed operators from certification labeling requirement	☐ 16 CFR 1500.88(d)(6): Exemption from lead limit under 15 USC 1278a for lead used in compliant pin connector systems in electronic devices
☐ 16 CFR 1252.3(a)(1): Determinations regarding lead for particleboard that is untreated and unfinished made from virgin wood or pre-consumer wood waste	☐ 16 CFR 1500.88(d)(7): Exemption from lead limit under 15 USC 1278a for lead used in optical and filter glass in electronic devices
☐ 16 CFR 1252.3(a)(2): Determinations regarding lead for hardwood plywood that is untreated and unfinished made from virgin wood or pre-consumer wood waste	☐ 16 CFR 1500.88(d)(5): Exemption from lead limit under 15 USC 1278a for lead oxide in plasma display panels and surface conduction electron emitter displays in electronic devices
☐ 16 CFR 1252.3(a)(3): Determinations regarding lead for medium-density fiberboard that is untreated and unfinished made from virgin wood or pre-consumer wood waste.	☐ 16 CFR 1500.88(d)(5): Exemption from lead limit under 15 USC 1278a for lead oxide in the glass envelope of black light blue lamps in electronic devices
☐ 16 CFR 1252.3(b)(1): Determinations regarding heavy elements for particleboard that is untreated and unfinished made from virgin wood or pre-consumer wood waste	☐ 16 CFR 1500.91(d)(1): Determination regarding lead content for precious gemstones
☐ 16 CFR 1252.3(b)(2): Determinations regarding heavy elements for hardwood plywood that is untreated and unfinished made from virgin wood or pre-consumer wood waste	☐ 16 CFR 1500.91(d)(2): Determination regarding lead content for semiprecious gemstones and other minerals
☐ 16 CFR 1252.3(b)(3): Determinations regarding heavy elements for medium-density fiberboard that is untreated and unfinished made from virgin wood or pre-consumer wood waste.	☐ 16 CFR 1500.91(d)(3): Determination regarding lead content for natural or cultured pearls
☐ 16 CFR 1252.3(c)(1): Determinations regarding phthalates for particleboard that is untreated and unfinished made from virgin wood or pre-consumer wood waste	☐ 16 CFR 1500.91(d)(4): Determination regarding lead content for wood
☐ 16 CFR 1252.3(c)(2): Determinations regarding phthalates for hardwood plywood that is untreated and unfinished made from virgin wood or pre-consumer wood waste and does not contain polyvinyl acetate (PVAc) adhesive formulations	☐ 16 CFR 1500.91(d)(5): Determination regarding lead content for paper and similar materials made from wood or other cellulosic fiber
☐ 16 CFR 1252.3(c)(3): Determinations regarding phthalates for medium-density fiberboard that is untreated and unfinished made from virgin wood or pre-consumer wood waste.	☐ 16 CFR 1500.91(d)(6): Determination regarding lead content for CMYK process printing inks
☐ 16 CFR 1253.2(b)(1): Determinations regarding heavy metals for nylon	☐ 16 CFR 1500.91(d)(7): Determination regarding lead content for textiles
☐ 16 CFR 1253.2(b)(2): Determinations regarding heavy metals for polyurethane (spandex)	☐ 16 CFR 1500.91(d)(8): Determination regarding lead content for other plant-derived and animal-derived materials
☐ 16 CFR 1253.2(b)(3): Determinations regarding heavy metals for viscose rayon	☐ 16 CFR 1500.91(e)(1): Determination regarding lead content for certain surgical steel and stainless steel

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☐ 16 CFR 1253.2(b)(4): Determinations regarding heavy metals for acrylic and modacrylic	☐ 16 CFR 1500.91(e)(2): Determination regarding lead content for certain precious metals
☐ 16 CFR 1253.2(b)(5): Determinations regarding heavy metals for natural rubber latex	☐ 16 CFR 1610.1(d)(1): Exemption from testing the flammability of clothing and textiles for plain surface fabrics weighing 2.6 ounces per square yard or more
☐ 16 CFR 1253.2(c)(1): Determinations regarding phthalates for polyester (polyethylene terephthalate, PET)	☐ 16 CFR 1610.2(d)(2): Exemption from testing the flammability of clothing and textiles for fabrics of certain composition
☐ 16 CFR 1253.2(c)(2): Determinations regarding phthalates for nylon	☐ 16 CFR 1630.2(b): Exclusion from surface flammability standard for one of a kind carpet or rugs, such as an antique, an oriental, or a hide
☐ 16 CFR 1253.2(c)(3): Determinations regarding phthalates for polyurethane (spandex)	☐ 16 CFR 1631.2(b): Exclusion from surface flammability standard for one of a kind carpet or rugs, such as an antique, an oriental, or a hide
☐ 16 CFR 1253.2(c)(4): Determinations regarding phthalates for viscose rayon	☐ 15 USC 1278a(b)(5): Exemption from lead limit for off-highway vehicles
☐ 16 CFR 1253.2(c)(5): Determinations regarding phthalates for acrylic and modacrylic	☐ 15 USC 1278a(b)(7): Exemption from lead limit for used children's products
☐ 16 CFR 1253.2(c)(6): Determinations regarding phthalates for natural rubber latex	

For more information regarding CPSC efile and certificate requirements, see the following resources:

- <https://www.cpsc.gov/>
- [General Certificate of Conformity | CPSC.gov](#)
- [Children's Product Certificate | CPSC.gov](#)

Additional commodities can be provided on a separate sheet. Be sure to include all requested information.

I hereby certify that the information provided is complete and accurate, and that I have used reasonable care in ascertaining and providing such information.

Company Name: _____

Individual Name: _____

Title: _____

Phone: _____

Email: _____

Signature: _____