

ADDENDUM A

ANNUAL CERTIFICATION

As of this ____ day of _____, _____,
certifies that: (“Month”) (“Year”) (“Account Name”)

1. Shipper recognizes that various federal, state, provincial, and local laws and regulations govern Tobacco Products;
2. Shipper does or intends to ship Tobacco Products to (**check all that apply**)

__ businesses authorized to receive them

__ consumers authorized to receive them
3. Shipper is compliant with all federal, state, provincial, and local laws and regulations governing Tobacco Products;
4. Shipper holds all federal, state, provincial and local licenses and authorizations pertaining to Tobacco Products that Shipper requires to operate its business. Shipper has submitted to UPS by email to upstobaccocompliance@ups.com its license to sell or distribute tobacco products in its home state, and Shipper agrees to submit to UPS copies, or an abstract, of all other such licenses and authorizations upon request;
5. Shipper has ensured its consignees of Tobacco Products are compliant with all federal, state, provincial, and local laws and regulations governing Tobacco Products, including by verifying that its consignees hold all federal, state, provincial and local licenses and authorizations required, where necessary; Shipper will provide copies or an abstract of consignee licenses and authorizations to UPS upon request;
6. Shipper represents and warrants that (**check only one**)

__ Shipper has not ever been the subject of any notice, finding, or action, by any regulatory authority concerning alleged non-compliance with laws or regulations governing Tobacco Products; or

__ in documents submitted to UPS by email to upstobaccocompliance@ups.com, Shipper has made available to UPS all information concerning any such notice, finding, or action; and
7. Shipper maintains a Shipper Compliance Program that includes: (1) training for employees regarding Tobacco Products; (2) due diligence regarding customer licensure to receive, possess, and purchase Tobacco Products; and (3) self-assessments of the Shipper Compliance Program to guarantee its effectiveness.

8. Shipper does or intends to ship the following Tobacco Products (**check all that apply**)

	B2B	B2C
Cigarettes	<input type="checkbox"/>	
Little Cigars	<input type="checkbox"/>	
Cigars	<input type="checkbox"/>	<input type="checkbox"/>
Roll Your Own/Pipe Tobacco	<input type="checkbox"/>	<input type="checkbox"/>
Smokeless Tobacco	<input type="checkbox"/>	<input type="checkbox"/>
Vape/E-Cigarette Products	<input type="checkbox"/>	<input type="checkbox"/>
Other (Describe below):	<input type="checkbox"/>	<input type="checkbox"/>

9. Shipper acknowledges that for as long as this Agreement is in effect, Shipper shall annually execute and submit by email to upstobaccocompliance@ups.com this Annual Certification with updated information as appropriate.

CERTIFIED:

Account Name: _____

UPS Account #: _____

If Applicable, UPS Vape/E-Cigarette Account #: _____

Street: _____

City: _____

State: _____ Zip Code: _____

Signature: _____

Print Name: _____

Title: _____

Website: _____

Correspondence Email: _____

(Email required for future communications and annual certification)